SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance				
	A. Cash			0	7010
	B. Securities (at market)			0	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market			0	7030
3.	Exchange Traded Options				
	A. Add market value of open option contracts purchased on a contract market			0	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market			(0)	7033
4.	Net equity (deficit) (add lines 1, 2, and 3)			0	7040
5.	Accounts liquidating to a deficit and accounts with debit balances				
	Gross Amount	0	7045		
	Less: amount offset by customer owned securities	(0)	7047	0	7050
6.	Amount required to be segregated (add lines 4 and 5)			0	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	13,623	7070
	B. Securities representing investments of customers' funds (at market)	0	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	122,252	7100
	B. Securities representing investments of customers' funds (at market)	0	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	0	7130
10.	Exchange traded options		
	A. Value of open long option contracts	0	7132
	B. Value of open short option contracts	(0)	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregating of funds on hand (describe:)	0	7150
13.	Total amount in segregation	135,875	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	135,875	7190
15.	Management Target Amount foir Excess funds in segregation	0	7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	135,875	7198

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			0	7305
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
	A. Cash			0	7315
	B. Securities (at market)			0	7317
2.	Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade			0	7325
3.	Exchange Traded Options				
	A. Market value of open option contracts purchased on a foreign board of trade			0	7335
	B. Market value of open contracts granted (sold) on a foreign board of trade			0	7337
4.	Net equity (deficit) (add lines 1, 2, and 3)			0	7345
5.	Accounts liquidating to a deficit and accounts with debit balances				
	Gross amount	0	7351		
	Less: amount offset by customer owned securities	(0)	7352	0	7354
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5			0	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6			0	7360

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FUNDS DEPOSITED IN SEPERATE REGULATION 30.7 ACCOUNTS

1.	Cash in Banks						
	A. Banks located in the United States			59,685	7500		
	B. Other banks qualified under regulation 30.7						
	Name(s):		7510	98,412	7520	158,097	7530
2.	Securities						
	A. In safekeeping with banks located in the United States			0	7540		
	B. In safekeeping with other banks qualified under regulation 30.7						
	Name(s):		7550	0	7560	0	7570
3.	Equities with registered futures commission merchants						
	A. Cash			326,907	7580		
	B. Securities			0	7590		
	C. Unrealized gain (loss) on open futures contracts			0	7600		
	D. Value of long option contracts			0	7610		
	E. Value of short option contracts			(0)	7615	326,907	7620
4.	Amounts held by clearing corporations of foreign boards of trade						
	Name(s):		7630				
	A. Cash			0	7640		
	B. Securities			0	7650		
	C. Amount due to (from) clearing organizations - daily variation			0	7660		
	D. Value of long option contracts			0	7670		
	E. Value of short option contracts			0	7675	0	7680
5.	Amounts held by members of foreign boards of trade						
	Name(s):		7690				
	A. Cash			0	7700		
	B. Securities			0	7710		
	C. Unrealized gain (loss) on open futures contracts			0	7720		
	D. Value of long option contracts			0	7730		
	E. Value of short option contracts			(0)	7735	0	7740
6.	Amounts with other depositories designated by a foreign board of trade						
	Name(s):		7750			0	7760
7.	Segregated funds on hand	(describe:)				0	7765
8.	Total funds in separate section 30.7 accounts					485,004	7770
9.	Excess (deficiency) set Aside Funds for Secured Amount (subtract line 7 Securied Statement page T10-3 from line 8)					485,004	7380
10.	Management Target Amount for Excess funds in separate section 30.7 accounts					0	7780
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target					485,004	7785

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CLEARED SWAPS CUSTOMER REQUIREMENTS

1.	Net ledger balance				
	A. Cash			0	8500
	B. Securities (at market)			0	8510
2.	Net unrealized profit (loss) in open cleared swaps			0	8520
3.	Cleared swaps options				
	A. Market value of open cleared swaps option contracts purchased			0	8530
	B. Market value of open cleared swaps option contracts granted (sold)			(0)	8540
4.	Net equity (deficit) add lines 1, 2, and 3)			0	8550
5.	Accounts liquidating to a deficit and accounts with debit balances				
	Gross amount	0	8560		
	Less: amount offset by customer owned securities	(0)	8570	0	8580
6.	Amount required to be segregated for cleared swaps customers (addlines 4 and 5)			0	8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	0	8600
	B. Securities representing investments of customers' funds (at market)	0	8610
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	0	8630
	B. Securities representing investments of customers' funds (at market)	0	8640
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	0	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open open cleared swaps short option contracts	(0)	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	0	8730
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	0	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	0	8770