

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 06/30/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1.	Net ledger balance			
	A. Cash		0	7010
	B. Securities (at market)		0	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market		0	7030
3.	Exchange Traded Options			
	A. Add market value of open option contracts purchased on a contract market		0	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market	(0	7033
4.	Net equity (deficit) (add lines 1, 2, and 3)		0	7040
5.	Accounts liquidating to a deficit and accounts with debit balances			
	Gross Amount		0	7045
	Less: amount offset by customer owned securities	(0	7047
			0	7050
6.	Amount required to be segregated (add lines 4 and 5)		0	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts			
	A. Cash		13,688	7070
	B. Securities representing investments of customers' funds (at market)		0	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets			
	A. Cash		125,374	7100
	B. Securities representing investments of customers' funds (at market)		0	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets		0	7130
10.	Exchange traded options			
	A. Value of open long option contracts		0	7132
	B. Value of open short option contracts	(0	7133
11.	Net equities with other FCMs			
	A. Net liquidating equity		0	7140
	B. Securities representing investments of customers' funds (at market)		0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12.	Segregating of funds on hand (describe: _____)		0	7150
13.	Total amount in segregation		139,062	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)		139,062	7190
15.	Management Target Amount for Excess funds in segregation		0	7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		139,062	7198

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 06/30/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		0	7305
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	0	7315
	B. Securities (at market)	0	7317
2.	Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade	0	7325
3.	Exchange Traded Options		
	A. Market value of open option contracts purchased on a foreign board of trade	0	7335
	B. Market value of open contracts granted (sold) on a foreign board of trade	0	7337
4.	Net equity (deficit) (add lines 1, 2, and 3)	0	7345
5.	Accounts liquidating to a deficit and accounts with debit balances		
	Gross amount	0	7351
	Less: amount offset by customer owned securities (0)	7352
		0	7354
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	0	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6	0	7360

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 06/30/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

FUNDS DEPOSITED IN SEPERATE REGULATION 30.7 ACCOUNTS

1.	Cash in Banks				
	A. Banks located in the United States	59,685	7500		
	B. Other banks qualified under regulation 30.7				
	Name(s):	7510	99,814	7520	159,499 7530
2.	Securities				
	A. In safekeeping with banks located in the United States	0	7540		
	B. In safekeeping with other banks qualified under regulation 30.7				
	Name(s):	7550	0	7560	0 7570
3.	Equities with registered futures commission merchants				
	A. Cash	325,644	7580		
	B. Securities	0	7590		
	C. Unrealized gain (loss) on open futures contracts	0	7600		
	D. Value of long option contracts	0	7610		
	E. Value of short option contracts (0)	7615	325,644	7620
4.	Amounts held by clearing corporations of foreign boards of trade				
	Name(s):	7630			
	A. Cash	0	7640		
	B. Securities	0	7650		
	C. Amount due to (from) clearing organizations - daily variation	0	7660		
	D. Value of long option contracts	0	7670		
	E. Value of short option contracts	0	7675	0	7680
5.	Amounts held by members of foreign boards of trade				
	Name(s):	7690			
	A. Cash	0	7700		
	B. Securities	0	7710		
	C. Unrealized gain (loss) on open futures contracts	0	7720		
	D. Value of long option contracts	0	7730		
	E. Value of short option contracts (0)	7735	0	7740
6.	Amounts with other depositories designated by a foreign board of trade				
	Name(s):	7750		0	7760
7.	Segregated funds on hand (describe:)			0	7765
8.	Total funds in separate section 30.7 accounts			485,143	7770
9.	Excess (deficiency) set Aside Funds for Secured Amount (subtract line 7 Securied Statement page T10-3 from line 8)			485,143	7380
10.	Management Target Amount for Excess funds in separate section 30.7 accounts			0	7780
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			485,143	7785

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 06/30/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

CLEARED SWAPS CUSTOMER REQUIREMENTS

1.	Net ledger balance			
	A. Cash		0	8500
	B. Securities (at market)		0	8510
2.	Net unrealized profit (loss) in open cleared swaps		0	8520
3.	Cleared swaps options			
	A. Market value of open cleared swaps option contracts purchased		0	8530
	B. Market value of open cleared swaps option contracts granted (sold)	(0	8540
4.	Net equity (deficit) add lines 1, 2, and 3)		0	8550
5.	Accounts liquidating to a deficit and accounts with debit balances			
	Gross amount	0	8560	
	Less: amount offset by customer owned securities	(0	8570
			0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		0	8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7.	Deposited in cleared swaps customer segregated accounts at banks			
	A. Cash		0	8600
	B. Securities representing investments of customers' funds (at market)		0	8610
	C. Securities held for particular customers or option customers in lieu of cash (at market)		0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
	A. Cash		0	8630
	B. Securities representing investments of customers' funds (at market)		0	8640
	C. Securities held for particular customers or option customers in lieu of cash (at market)		0	8650
9.	Net settlement from (to) derivatives clearing organizations		0	8660
10.	Cleared swaps options			
	A. Value of open cleared swaps long option contracts		0	8670
	B. Value of open open cleared swaps short option contracts	(0	8680
11.	Net equities with other FCMs			
	A. Net liquidating equity		0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12.	Cleared swaps customer funds on hand (describe: _____)		0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)		0	8720
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		0	8730
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts		0	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess		0	8770