

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
DAIWA CAPITAL MARKETS AMERICA INC.

as of 01/31/2025

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance			
	A. Cash	0		7010
	B. Securities (at market)	0		7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	0		7030
3.	Exchange Traded Options			
	A. Add market value of open option contracts purchased on a contract market	0		7032
	B. Deduct market value of open option contracts granted (sold) on a contract market	( 0 )		7033
4.	Net equity (deficit) (add lines 1, 2, and 3)	0		7040
5.	Accounts liquidating to a deficit and accounts with debit balances			
	Gross Amount	0		7045
	Less: amount offset by customer owned securities (	0 )		7047
6.	Amount required to be segregated (add lines 4 and 5)	0		7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts			
	A. Cash	3,413,503		7070
	B. Securities representing investments of customers' funds (at market)	0		7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0		7090
8.	Margins on deposit with derivatives clearing organizations of contract markets			
	A. Cash	116,477		7100
	B. Securities representing investments of customers' funds (at market)	0		7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0		7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	0		7130
10.	Exchange traded options			
	A. Value of open long option contracts	0		7132
	B. Value of open short option contracts	( 0 )		7133
11.	Net equities with other FCMs			
	A. Net liquidating equity	0		7140
	B. Securities representing investments of customers' funds (at market)	0		7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0		7170
12.	Segregating of funds on hand (describe: _____)	0		7150
13.	Total amount in segregation	3,529,980		7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	3,529,980		7190
15.	Management Target Amount for Excess funds in segregation	0		7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	3,529,980		7198

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
A. Cash	0	7315
B. Securities (at market)	0	7317
2. Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade	0	7325
3. Exchange Traded Options		
A. Market value of open option contracts purchased on a foreign board of trade	0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade	0	7337
4. Net equity (deficit) (add lines 1, 2, and 3)	0	7345
5. Accounts liquidating to a deficit and accounts with debit balances		
Gross amount	0	7351
Less: amount offset by customer owned securities (	0)	7352
	0	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	0	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6	0	7360

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FUNDS DEPOSITED IN SEPERATE REGULATION 30.7 ACCOUNTS

1.	Cash in Banks			
	A. Banks located in the United States	59,685	7500	
	B. Other banks qualified under regulation 30.7			
	Name(s): _____	1,127,244	7510	7520
				1,186,929
				7530
2.	Securities			
	A. In safekeeping with banks located in the United States	0	7540	
	B. In safekeeping with other banks qualified under regulation 30.7			
	Name(s): _____	0	7550	7560
				0
				7570
3.	Equities with registered futures commission merchants			
	A. Cash	297,530	7580	
	B. Securities	0	7590	
	C. Unrealized gain (loss) on open futures contracts	0	7600	
	D. Value of long option contracts	0	7610	
	E. Value of short option contracts ( _____ )	0	7615	7620
				297,530
4.	Amounts held by clearing corporations of foreign boards of trade			
	Name(s): _____		7630	
	A. Cash	0	7640	
	B. Securities	0	7650	
	C. Amount due to (from) clearing organizations - daily variation	0	7660	
	D. Value of long option contracts	0	7670	
	E. Value of short option contracts	0	7675	7680
				0
5.	Amounts held by members of foreign boards of trade			
	Name(s): _____		7690	
	A. Cash	0	7700	
	B. Securities	0	7710	
	C. Unrealized gain (loss) on open futures contracts	0	7720	
	D. Value of long option contracts	0	7730	
	E. Value of short option contracts ( _____ )	0	7735	7740
				0
6.	Amounts with other depositories designated by a foreign board of trade			
	Name(s): _____		7750	7760
				0
7.	Segregated funds on hand (describe: _____ )			7765
				0
8.	Total funds in separate section 30.7 accounts			7770
				1,484,459
9.	Excess (deficiency) set Aside Funds for Secured Amount (subtract line 7 Secured Statement page T10-3 from line 8)			7380
				1,484,459
10.	Management Target Amount for Excess funds in separate section 30.7 accounts			7780
				0
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			7785
				1,484,459

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CLEARED SWAPS CUSTOMER REQUIREMENTS

1.	Net ledger balance			
	A. Cash	0	8500	
	B. Securities (at market)	0	8510	
2.	Net unrealized profit (loss) in open cleared swaps	0	8520	
3.	Cleared swaps options			
	A. Market value of open cleared swaps option contracts purchased	0	8530	
	B. Market value of open cleared swaps option contracts granted (sold)	( 0 )	8540	
4.	Net equity (deficit) add lines 1, 2, and 3	0	8550	
5.	Accounts liquidating to a deficit and accounts with debit balances			
	Gross amount	0	8560	
	Less: amount offset by customer owned securities ( )	0	8570	
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	0	8590	

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7.	Deposited in cleared swaps customer segregated accounts at banks			
	A. Cash	0	8600	
	B. Securities representing investments of customers' funds (at market)	0	8610	
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	8620	
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
	A. Cash	0	8630	
	B. Securities representing investments of customers' funds (at market)	0	8640	
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	8650	
9.	Net settlement from (to) derivatives clearing organizations	0	8660	
10.	Cleared swaps options			
	A. Value of open cleared swaps long option contracts	0	8670	
	B. Value of open open cleared swaps short option contracts	( 0 )	8680	
11.	Net equities with other FCMs			
	A. Net liquidating equity	0	8690	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710	
12.	Cleared swaps customer funds on hand (describe: _____)	0	8715	
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720	
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	0	8730	
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	0	8760	
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	0	8770	