## WINJAMMER FILING

INITIAL

End Date:8/23/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II Submit Date:8/26/2024

End Date:8/23/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II Submit Date:8/26/2024

# **Daily Segregation - Cover Page**

Name of Company  Contact Name	<u>Daiwa Capital Markets America</u> <u>Inc.</u> <u>Michael Carlson</u>
Contact Phone Number	<u>212-612-6376</u>
Contact Email Address	michael.carlson@us.daiwacm.c om
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:%; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	0 100 0 0 0 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:%; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	0 100 0 0 0 0
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:%; or c. Dollar amount range between:and; or d. Percentage range of cleared swaps customer collateral required between:% and%.	0 0 0 0 0 0

Attach supporting documents CH

End Date:8/23/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

Submit Date:8/26/2024

### **Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder government or a rule of a self-regulatory organization authorized thereunder A Cash A Cash B. Securities (at market) B. Securities (at market) C. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade D. Market value of open option contracts pranted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of the put seat saide pursuant to foreign jurisdiction (above) or line D. Walket of the put seat saide pursuant to foreign jurisdiction (above) or line D. Walket of the put seat squalified under Regulation 30.7 ACCOUNTS D. Walket Squalified under Regulation 30.7 ACCOUNTS D. Walket Squalified under Regulation 30.7 D. Walket Squalified under Regulation 30.7 D. Walket Squalified under Regulation 30.7 D. Walket Oling option contracts D. Walket Oling option contracts D. Walket Oling option contracts	Dai	Daily Segregation - Secured Amounts						
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Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		Amount required to be set aside pursuant to law, rule or regulation of a foreign	<b>o</b> [7305]					
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B. Securities (at market)	1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers						
B. Securities (at market)         0 [7317]           2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade         0 [7325]           3. Exchange traded options         u. Market value of open option contracts purchased on a foreign board of trade         0 [7337]           4. Net equity (deficit) (add lines 1. 2. and 3.)         0 [7345]           5. Account liquidating to a deficit and account with a debit balances - gross amount         0 [7351]           6. Amount required to be set aside as the secured amount - Net Liquidating Equity         0 [7352] 0 [7351]           6. Amount required to be set aside bursuant to foreign jurisdiction (above) or line         0 [7360]           6. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line         0 [7360]           6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS         1 [750]           7. Greater of amount required to the set aside pursuant to foreign jurisdiction (above) or line         0 [7360]           8. Disher banks qualified under Regulation 30.7 ACCOUNTS         1 [750]           8. Disher banks qualified under Regulation 30.7         2 [750]           9. Securities         0 [7560] 0 [7570]           8. Equities with registered futures commission merchants         0 [7560] 0 [7570]           9. Equities with registered futures commission merchants         0 [7650] 0 [7650]           10. Value of long option contracts <td></td> <td></td> <td><b>0</b> [7315]</td>			<b>0</b> [7315]					
2.         Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade         2 [7325]           3.         Exchange traded options         a. Market value of open option contracts purchased on a foreign board of trade         0 [7337]           4.         Net equity (deficit) (add lines 1.2 and 3.)         2 [7345]           5.         Account liquidating to a deficit and account with a debit balances - gross amount         0 [7351]           6.         Amount required to be set aside as the secured amount - Net Liquidating Equity         0 [7352] 0 [7365]           6.         Amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         0 [7360]           7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         0 [7360]           8.         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS         0 [7360]           1.         Cash in banks         59.685 [7500]           A. Banks located in the United States         9 [7540]           B. In safekeeping with banks located in the United States         9 [7540]           B. In safekeeping with other banks qualified under Regulation 30.7         9 [7560] 0 [7570]           2.         Equities with registered futures contracts         9 [7560] 0 [7570]           B. Securities         9 [7660] 0 [7670]           C. Unrealized gain (ioss) on open		B. Securities (at market)	<del></del>					
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a. Market value of open option contracts purchased on a foreign board of trade  b. Market value of open contracts granted (sold) on a foreign board of trade  ches: amount offset by customer owned securities  ches: amount owned	3.	· · · · · · · · · · · · · · · · · · ·						
b. Market value of open contracts granted (sold) on a foreign board of trade  Net equity (deficit) (add lines 1. 2. and 3.)  Account liquidating to a deficit and account with a debit balances - gross amount  (7351) Less: amount offset by customer owned securities  Method (add lines 4 and 5)  To Greater of amount required to be set aside as the secured amount - Net Liquidating Equity  (7355) Method (add lines 4 and 5)  To Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line  (8)  FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  A. Banks located in the United States  B. Other banks qualified under Regulation 30.7  2. Securities  A. In safekeeping with banks located in the United States  B. Suber banks qualified under Regulation 30.7  3. Equities with registered futures commission merchants  4. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  Q [760]  D. Value of short option contracts  Q [761]  A. Cash  A. Cash  A. Cash  B. Securities  C. Amount due to (from) clearing organization - daily variation  D. Value of long option contracts  Q [7670]  D. Value of long option contracts  Q [7670]  E. Value of short option contracts  Q [7670]  D. Value of long option contracts  Q [7700]  D. Value of long option contracts  Q [7700]  E. Value of short option contracts  Q [7700]  D. Value of long option contracts  Q [7735] Q [7780]  D. Value of long option contracts  Q [7730]  E. Value of short option contracts  D. Value of long option contracts  Q [7730]  E. Value of short option contracts  D. Value of long option contracts  D. Value of l		- · · · · · · · · · · · · · · · · · · ·	<b>0</b> [7335]					
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Less: amount offset by customer owned securities 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS 1. Cash in banks 7. A Banks located in the United States 8. Other banks qualified under Regulation 30.7 2. Securities A In safekeeping with banks located in the United States 9. [7540] 1.121.942 [7520] 1.272.627 [7530] 3. Equities with registered futures commission merchants 4. Cash 8. Securities C. Unrealized gain (loss) on open futures contracts 9. [7600] 9. Value of long option contracts 1. Cash A. Cash A. Cash A. Cash A. Cash A. Cash B. Securities C. Amounts held by clearing organization - daily variation D. Value of long option contracts 1. Cash B. Securities C. Amount beld by members of foreign boards of trade A. Cash A. Cash B. Securities C. Amount held by members of foreign boards of trade A. Cash B. Securities D. Value of short option contracts Q. [7660] Q. [7670] Q. [7700] Q. Value of long option contracts Q. [7700] Q. [7700] Q. Value of long option contracts Q. [7700] Q. [7700] Q. Value of long option contracts Q. [7700] Q. [7700] Q. Value of long option contracts Q. [7700] Q. Value of long	4.	Net equity (deficit) (add lines 1. 2. and 3.)	<b>0</b> [7345]					
6.         Amount required to be set aside as the secured amount - Net Liquidating Equity         ℚ [7355]           Method (add lines 4 and 5)         ℚ [7360]           7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         ℚ [7360]           7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         ℚ [7360]           1.         Cash in banks            1.         A. Banks located in the United States         № [7540]           2.         Securities         ℚ [7540]           3.         B. safekseeping with other banks located in the United States         ℚ [7560] ℚ [7570]           4.         In safekseeping with other banks qualified under Regulation 30.7         ℚ [7560] ℚ [7570]           3.         Equities with registered futures commission merchants         ℚ [7560] ℚ [7570]           4.         C. Sah         ℚ [7560] ℚ [750]           5.         B. Securities         ℚ [7610]           6.         Unrealized gain (loss) on open futures contracts         ℚ [7610]           7.         A. Cash         ℚ [7610]           8.         Securities         ℚ [7660]           9.         Value of long option contracts         ℚ [7660]           10.         Value of short opt	5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>0</u> [7351]					
6.         Amount required to be set aside as the secured amount - Net Liquidating Equity         ℚ [7355]           Method (add lines 4 and 5)         ℚ [7360]           7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         ℚ [7360]           7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         ℚ [7360]           1.         Cash in banks            1.         A. Banks located in the United States         № [7540]           2.         Securities         ℚ [7540]           3.         B. safekseeping with other banks located in the United States         ℚ [7560] ℚ [7570]           4.         In safekseeping with other banks qualified under Regulation 30.7         ℚ [7560] ℚ [7570]           3.         Equities with registered futures commission merchants         ℚ [7560] ℚ [7570]           4.         C. Sah         ℚ [7560] ℚ [750]           5.         B. Securities         ℚ [7610]           6.         Unrealized gain (loss) on open futures contracts         ℚ [7610]           7.         A. Cash         ℚ [7610]           8.         Securities         ℚ [7660]           9.         Value of long option contracts         ℚ [7660]           10.         Value of short opt		Less: amount offset by customer owned securities	<b>0</b> [7352] <b>0</b> [7354]					
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  1. Cash in banks A. Banks located in the United States B. Other banks qualified under Regulation 30.7 2. Securities A. In safekeeping with other banks qualified under Regulation 30.7 3. Equities with registered futures commission merchants A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts C. Value of long option contracts B. Securities C. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Value of short option contracts D. Value of long option contracts D. Value of	6.	Amount required to be set aside as the secured amount - Net Liquidating Equity						
6.         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS           1.         Cash in banks           A. Banks located in the United States B. Other banks qualified under Regulation 30.7         59.685 [7500] 1,272,627 [7530]           2.         Securities           A. In safekeeping with banks located in the United States B. In safekeeping with other banks qualified under Regulation 30.7         0 [7540] 0 [7570]           3.         Equities with registered futures commission merchants         0 [7560] 0 [7570]           4.         Cash B. Securities         0 [7590]           5.         C. Unrealized gain (loss) on open futures contracts         0 [7600]           6.         Value of long option contracts         0 [7610]           7.         Value of short option contracts         0 [7640]           8.         Securities         0 [7640]           4.         Amounts held by clearing organizations of foreign boards of trade         0 [7660]           6.         A. Cash Diagnostic of foreign organization - daily variation         0 [7660]           7.         Value of long option contracts         0 [7670]           8.         Securities         0 [7670]           9.         Value of short option contracts         0 [7700]           10.         Value of long option contracts         0 [7700]		Method (add lines 4 and 5)						
6.         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS           1.         Cash in banks           A. Banks located in the United States B. Other banks qualified under Regulation 30.7         59.685 [7500] 1,272,627 [7530]           2.         Securities           A. In safekeeping with banks located in the United States B. In safekeeping with other banks qualified under Regulation 30.7         0 [7540] 0 [7570]           3.         Equities with registered futures commission merchants         0 [7560] 0 [7570]           4.         Cash B. Securities         0 [7590]           5.         C. Unrealized gain (loss) on open futures contracts         0 [7600]           6.         Value of long option contracts         0 [7610]           7.         Value of short option contracts         0 [7640]           8.         Securities         0 [7640]           4.         Amounts held by clearing organizations of foreign boards of trade         0 [7660]           6.         A. Cash Diagnostic of foreign organization - daily variation         0 [7660]           7.         Value of long option contracts         0 [7670]           8.         Securities         0 [7670]           9.         Value of short option contracts         0 [7700]           10.         Value of long option contracts         0 [7700]	7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	<b>0</b> [7360]					
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A. Banks located in the United States         59.685 [7500]           B. Other banks qualified under Regulation 30.7         1.212.942 [7520] 1.272.627 [7530]           2. Securities         0 [7540]           B. In safekeeping with banks located in the United States         0 [7560] 0 [7570]           3. Equities with registered futures commission merchants         0 [7560] 0 [7570]           A. Cash         0 [7590]           B. Securities         0 [7600]           C. Unrealized gain (loss) on open futures contracts         0 [7610]           D. Value of long option contracts         0 [7610]           E. Value of short option contracts         0 [7640]           4. Cash         0 [7640]           B. Securities         0 [7650]           C. Amount held by clearing organizations of foreign boards of trade         0 [7660]           A. Cash         0 [7660]           B. Securities         0 [7670]           C. Amount due to (from) clearing organization - daily variation         0 [7670]           D. Value of long option contracts         0 [7670]           E. Value of short option contracts         0 [7700]           B. Securities         0 [7700]           C. Carnealized gain (loss) on open futures contracts         0 [7700]           D. Value of long option contracts         0 [7730]		FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS						
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B. In safekeeping with other banks qualified under Regulation 30.7  Equities with registered futures commission merchants  A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts Leaving and the best of the special point of trade  A. Cash Amounts held by clearing organizations of foreign boards of trade  A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D.	2.	Securities						
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A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts D. (7615) 307,231 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts D. Value of long option contracts E. Value of short option contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D. Value of long option contracts D. (7700] D. Value of long option contracts D. (7710] C. Unrealized gain (loss) on open futures contracts D. (7720) D. Value of long option contracts D. (7735) D. (7740) E. Value of short option contracts D. (7765) D. Value of short option contracts D. (7765) E. Value of short option contracts D. (7765) E. Value of short option contracts D. (7760) E. Value of short option contracts D. (7765) E. Value of short option contracts D. (7765) E. Value of short option contracts D. (7765) E. Value of funds on hand D. (7765) Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts		B. In safekeeping with other banks qualified under Regulation 30.7	<u>o</u> [7560] <u>o</u> [7570]					
B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of long option contracts D. (7610) E. Value of short option contracts D. (7615) 307,231 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts D. (7660) D. Value of long option contracts D. (7670) E. Value of short option contracts D. (7675) D. (7680)  5. Amounts held by members of foreign boards of trade A. Cash B. Securities A. Cash D. (7700) B. Securities D. Value of long option contracts D. (7710) C. Unrealized gain (loss) on open futures contracts D. (7720) D. Value of long option contracts D. (7730) E. Value of short option contracts D. (7735) D. (7740)  6. Amounts with other depositories designated by a foreign board of trade 7. Segregated funds on hand D. (7765) Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts 1 [7780]	3.	Equities with registered futures commission merchants						
C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts E. Value of short option contracts D. (7610) E. Value of short option contracts D. (7620)  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. (7660) D. Value of short option contracts D. (7670) E. Value of short option contracts D. (7700) B. Securities C. Unrealized gain (loss) on open futures contracts D. (7710) C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of short option contracts E. Value of short option contracts D. (7730) D. Value of short option contracts D. (7735) D. (7740) D. Value of short option contracts D. (7760) T. Segregated funds on hand D. (7760) Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) Management Target Amount for Excess funds in separate section 30.7 accounts D. Management Target Amount for Excess funds in separate section 30.7 accounts		A. Cash	<u>307,231</u> [7580]					
D. Value of long option contracts E. Value of short option contracts Q [7610] E. Value of short option contracts Q [7615] 307,231 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts Q [7670] E. Value of short option contracts Q [7670] E. Value of short option contracts Q [7670] B. Securities C. Urrealized gain (loss) on open futures contracts D. Value of long option contracts Q [7710] C. Urrealized gain (loss) on open futures contracts D. Value of long option contracts Q [7730] E. Value of short option contracts Q [7730] D. Value of long option contracts Q [7730] E. Value of short option contracts Q [7760] Amounts with other depositories designated by a foreign board of trade T. Segregated funds on hand Q [7765] Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts 1 [7780]		B. Securities	<u>o</u> [7590]					
E. Value of short option contracts  4. Amounts held by clearing organizations of foreign boards of trade  A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of		C. Unrealized gain (loss) on open futures contracts	<u><b>0</b></u> [7600]					
A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts A. Cash B. Securities C. Amounts held by members of foreign boards of trade A. Cash A. Cash B. Securities C. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Va		D. Value of long option contracts	<u><b>0</b></u> [7610]					
A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. Total funds in separate section 30.7 accounts  A. Cash Securities C. Unagement Target Amount for Excess funds in separate section 30.7 accounts  D. Value of long option contracts D. (17640] D. Value of long option contracts D. (17660] D. (17660] D. (17660] D. (17700] D.		E. Value of short option contracts	<u>0</u> [7615] <u>307,231</u> [7620]					
B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D [7670] E. Value of short option contracts D [7670] E. Value of short option contracts D [7675] D [7680]  5. Amounts held by members of foreign boards of trade A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts E. Value of short option contracts D [7730] E. Value of short option contracts D [7760]  Segregated funds on hand D [7765]  Total funds in separate section 30.7 accounts Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts D [7780]	4.	Amounts held by clearing organizations of foreign boards of trade						
C. Amount due to (from) clearing organization - daily variation  D. Value of long option contracts  E. Value of short option contracts  O [7670]  E. Value of short option contracts  O [7675] O [7680]  5. Amounts held by members of foreign boards of trade  A. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  E. Value of short option contracts  O [7730]  E. Value of short option contracts  O [7730]  Amounts with other depositories designated by a foreign board of trade  D [7760]  Segregated funds on hand  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		A. Cash	<u><b>0</b></u> [7640]					
D. Value of long option contracts E. Value of short option contracts  A. Cash A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts D. Value o		B. Securities	<u><b>0</b></u> [7650]					
E. Value of short option contracts  Amounts held by members of foreign boards of trade  A. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  E. Value o		C. Amount due to (from) clearing organization - daily variation	<u><b>0</b></u> [7660]					
5. Amounts held by members of foreign boards of trade  A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts E. Value of short option contracts D. Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand D. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		D. Value of long option contracts	<u><b>0</b></u> [7670]					
A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts O [7730] C. Amounts with other depositories designated by a foreign board of trade C. Segregated funds on hand D [7735] O [7740] C. Segregated funds on hand D [7765] C. Amounts with other depositories designated by a foreign board of trade C [7760] C Segregated funds in separate section 30.7 accounts C [7765] C Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		E. Value of short option contracts	<u><b>0</b></u> [7675] <u><b>0</b></u> [7680]					
B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts O [7730] E. Value of short option contracts O [7735] O [7740]  Amounts with other depositories designated by a foreign board of trade Segregated funds on hand O [7765]  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]	5.	Amounts held by members of foreign boards of trade						
C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  O [7730]  E. Value of short option contracts  O [7735] O [7740]  Amounts with other depositories designated by a foreign board of trade  Segregated funds on hand  O [7765]  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]			<del></del>					
D. Value of long option contracts  E. Value of short option contracts  6. Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand  8. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  9 [7730]  9 [7730]  9 [7740]  9 [7760]  1,579,858 [7770]  1,579,858 [7380]  1,579,858 [7380]  1,579,858 [7380]			<u><b>0</b></u> [7710]					
E. Value of short option contracts  6. Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand  8. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  9 [7735] 0 [7740]  0 [7740]  1 [7760]		The state of the s	<u><b>0</b></u> [7720]					
6. Amounts with other depositories designated by a foreign board of trade 7. Segregated funds on hand 8. Total funds in separate section 30.7 accounts 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts  9		<del>-</del> ·	<del></del>					
7. Segregated funds on hand 0 [7765] 8. Total funds in separate section 30.7 accounts 1.579,858 [7770] 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts 1 [7780]		·	<u>o</u> [7735] <u>o</u> [7740]					
8. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1,579,858 [7770]  1,579,858 [7380]  1,579,858 [7380]		Amounts with other depositories designated by a foreign board of trade	<u><b>0</b></u> [7760]					
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]			<del>_</del> -					
Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		·						
10. Management Target Amount for Excess funds in separate section 30.7 accounts <u>1</u> [7780]	9.	,	<u>1,579,858</u> [7380]					
		,						
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,579,857 [7785]								
	11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>1,<b>579,857</b></u> [7785]					

End Date:8/23/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

Submit Date:8/26/2024

**Excess** 

# **Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u><b>0</b></u> [7010]
	B. Securities (at market)	<u><b>0</b></u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u><b>0</b></u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u><b>0</b></u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u><b>0</b></u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u><b>0</b></u> [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u><b>0</b></u> [7045]
	Less: amount offset by customer securities	<u><b>0</b></u> [7047] <u><b>0</b></u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u><b>0</b></u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	3,413,687 [7070]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7090]
	market)	
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u><b>125,295</b></u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7120]
	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u><b>0</b></u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u><b>0</b></u> [7132]
	B. Value of open short option contracts	<u><b>0</b></u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u><b>0</b></u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7170]
	market)	
12.	Segregated funds on hand	<u><b>0</b></u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>3,538,982</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>3,538,982</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>1</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>3,<b>538,981</b></u> [7198]

End Date:8/23/2024

Firm Name: Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II Submit Date:8/26/2024

Management

**Daily Segregation - Swaps Statement** 

# STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1.	Net ledger balance	
	A. Cash	<u>o</u> [8500]
	B. Securities (at market)	<u><b>0</b></u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>o</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>o</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<b>0</b> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u><b>0</b></u> [8560]
	Less: amount offset by customer owned securities	<u><b>0</b></u> [8570] <u><b>0</b></u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<b>0</b> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u><b>0</b></u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts	
	A. Cash	<u><b>0</b></u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u><b>0</b></u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u><b>0</b></u> [8670]
	B. Value of open cleared swaps short option contracts	<u><b>0</b></u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u><b>0</b></u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b> </u>
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u><b>0</b></u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u><b>0</b></u> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u><b>0</b></u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u><b>0</b></u> [8770]
	A.4	