## WINJAMMER FILING

INITIAL

End Date:8/1/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

**Submit Date:8/2/2024** 

End Date:8/1/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

Submit Date:8/2/2024

## **Daily Segregation - Cover Page**

Name of Company  Contact Name	<u>Daiwa Capital Markets America</u> <u>Inc.</u> <u>Michael Carlson</u>
Contact Phone Number	<u>212-612-6376</u>
Contact Email Address	michael.carlson@us.daiwacm.c om
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:%; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	0 100 0 0 0 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:%; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	0 100 0 0 0 0
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:%; or c. Dollar amount range between:and; or d. Percentage range of cleared swaps customer collateral required between:% and%.	0 0 0 0 0 0

Attach supporting documents CH

End Date:8/1/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

Submit Date:8/2/2024

## **Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Socured Amounts	Dai	Daily Segregation - Secured Amounts					
government or a nule of a self-regulatory organization authorized thereunder  A Cash A Cash B. Securities (at market) B. Securities (at market) C. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade D. Karket value of open option contracts pranted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Market value of open contracts granted (sold) on a foreign board of trade D. Walket of a deficit and account with a debit balances - gross amount D. Walket of a description of trade D. Walket of a mount required to be set aside pursuant to foreign jurisdiction (above) or line B. D. Walket of a mount required to be set aside pursuant to foreign boards of trade D. Walket of long option contracts D. Walket of long option contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D. Value of ong option contracts D. Value of long option contracts D. Value of long option contracts D. Value of long option contra		Foreign Futures and Foreign Options Secured Amounts					
Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		Amount required to be set aside pursuant to law, rule or regulation of a foreign	<b>o</b> [7305]				
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B. Securities (at market)  2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  3. Exchange traded options  a. Market value of open option contracts purchased on a foreign board of trade  b. Market value of open contracts granted (sold) on a foreign board of trade  c. Met equity (deficit) (add lines 1.2 and 3.)  4. Net equity (deficit) (add lines 1.2 and 3.)  6. Account liquidating to a deficit and account with a debit balances - gross amount  Less: amount offset by customer owned securities  6. Amount required to be set aside as the secured amount - Net Liquidating Equity  Method (add lines 4 and 5)  7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line  6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line  6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  7. Greater of amount required to the set aside pursuant to foreign jurisdiction (above) or line  6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  7. Greater of amount required to the set aside pursuant to foreign jurisdiction (above) or line  6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  7. Securities  8. Dither banks qualified under Regulation 30.7  2. Securities  8. Li safekxeeping with banks located in the United States  8. In safekxeeping with other banks qualified under Regulation 30.7  8. Equities with registered futures commission merchants  9. (7590)  1. Cash  8. Securities  1. Value of long option contracts  2. Value of short option contracts  2. Value of short option contracts  3. (7675) [7680]  4. Cash  8. Securities  C. Amounts held by members of foreign boards of trade  A. Cash  8. Securities  C. Unrealized gain (loss) on open futures contracts  1. Value of short option contracts  1. Value of short option contracts  2. (7767) [7780]  3. Pr	1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers					
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b. Market value of open contracts granted (sold) on a foreign board of trade  Net equity (deficit) (add lines 1. 2. and 3.)  Account liquidating to a deficit and account with a debit balances - gross amount  Less: amount offset by customer owned securities  Amount required to be set aside as the secured amount - Net Liquidating Equity  Method (add lines 4 and 5)  Cereater of amount required to be set aside pursuant to foreign jurisdiction (above) or line  6.  FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  A. Banks located in the United States  B. Other banks qualified under Regulation 30.7  2. Securities  A. In safekeeping with banks located in the United States  B. State of the banks qualified under Regulation 30.7  3. Equities with registered futures commission merchants  A. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  A. Cash  A. Cash  B. Securities  A. Amounts held by clearing organizations of foreign boards of trade  C. Amount due to (from) clearing organization - daily variation  D. Value of long option contracts  E. Value of short option contracts  D. (7660)  D. Value of long option contracts  C. Amount held by members of foreign boards of trade  A. Cash  B. Securities  C. Increalized gain (loss) on open futures contracts  D. (7670)  D. Value of long option contracts  D. (7700)  D. Value of long			<b>0</b> [7335]				
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7.         Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line (0.         © [7360]           7.         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS           1.         Cash in banks         A. Banks located in the United States (a. B. Other banks qualified under Regulation 30.7)         \$5,885 [7500]           2.         Securities         1,169,280 [7520] 1,228,965 [7530]           3.         Equities with registered futures commission merchants         0 [7540]           4.         As Securities         0 [7590]           5.         Equities with registered futures commission merchants         299,316 [7580]           6.         Unrealized gain (loss) on open futures contracts         0 [7600]           7.         Unrealized gain (loss) on open futures contracts         0 [7610]           8.         Securities         0 [7610]           9.         176150         0 [7640]           9.         17650]         0 [7640]           9.         17650]         0 [7640]           9.         17650]         0 [7650]           4.         Amounts held by clearing organization - daily variation         0 [7660]           9.         17670         0 [7670]           10.         Value of long option contracts         0 [7670] <t< td=""><td>6.</td><td>Amount required to be set aside as the secured amount - Net Liquidating Equity</td><td></td></t<>	6.	Amount required to be set aside as the secured amount - Net Liquidating Equity					
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6.     FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS  1. Cash in banks     A. Banks located in the United States     B. Other banks qualified under Regulation 30.7 2. Securities     A. In safekeeping with banks located in the United States     B. In safekeeping with banks located in the United States     B. In safekeeping with banks located in the United States     B. In safekeeping with banks located in the United States     B. In safekeeping with banks located in the United States     B. In safekeeping with other banks qualified under Regulation 30.7 2. Equities with registered futures commission merchants     B. Securities     C. Unrealized gain (loss) on open futures contracts     D. Value of long option contracts     D. Value of long option contracts     D. Value of long option contracts     D. Value of short option contracts     D. Value of long option contracts     D. Value of l	7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	<b>0</b> [7360]				
1.         Cash in banks         59.685 [7500]           A. Banks located in the United States         59.685 [7500]           B. Other banks qualified under Regulation 30.7         1.169.280 [7520] 1,228.965 [7530]           2.         Securities         0 [7540]           B. In safekeeping with banks located in the United States         0 [7560] 0 [7570]           3.         Equities with registered futures commission merchants         299.316 [7580]           A. Cash         299.316 [7580]           B. Securities         0 [7600]           C. Unrealized gain (loss) on open futures contracts         0 [7600]           D. Value of long option contracts         0 [7610]           E. Value of short option contracts         0 [7610]           E. Value of short option contracts         0 [7640]           B. Securities         0 [7660]           C. Amount due to (from) clearing organization - daily variation         0 [7670]           D. Value of long option contracts         0 [7670]           E. Value of short option contracts         0 [7700]           E. Value of short option contracts         0 [7700]           5.         Amounts held by members of foreign boards of trade         0 [7700]           6.         A. Cash         0 [7700]           B. Securities         0 [7700]							
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B. In safekeeping with other banks qualified under Regulation 30.7  Equities with registered futures commission merchants  A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Val	2.	Securities					
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B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of long option contracts D. (7610) E. Value of short option contracts D. (7615) 299,316 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts D. (7660) D. Value of long option contracts D. (7670) E. Value of short option contracts D. (7675) D. (7680)  5. Amounts held by members of foreign boards of trade A. Cash B. Securities A. Cash D. (7700) B. Securities D. Value of long option contracts D. (7720) D. Value of long option contracts D. (7720) D. Value of long option contracts D. (7730) E. Value of short option contracts D. (7730) E. Value of short option contracts D. (7760) D. Value of short option contracts D. (7760) E. Value of short option contracts D. (7780) E. Value of short option contracts D. (778	3.	Equities with registered futures commission merchants					
C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts Q [7610] E. Value of short option contracts Q [7615] 299,316 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D [7670] E. Value of short option contracts D [7670] B. Securities C. Unrealized gain (loss) on open futures contracts D [7700] B. Securities C. Unrealized gain (loss) on open futures contracts D [7710] C. Unrealized gain (loss) on open futures contracts D [7720] D. Value of long option contracts D [7730] E. Value of short option contracts D [7730] E. Value of short option contracts D [7760] D. Value of long option contracts D [7760] E. Value of short option contracts D [7770] E. Val		A. Cash	<b>299,316</b> [7580]				
D. Value of long option contracts E. Value of short option contracts Q [7610] E. Value of short option contracts Q [7615] 299.316 [7620]  4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts Q [7660] D. Value of short option contracts Q [7670] E. Value of short option contracts Q [7670] B. Securities O. Ifferol A. Cash A. Cash Q [7700] B. Securities O. Unrealized gain (loss) on open futures contracts Q [7710] C. Unrealized gain (loss) on open futures contracts Q [7730] E. Value of short option contracts Q [7730] E. Value of short option contracts Q [7760] Amounts with other depositories designated by a foreign board of trade T. Segregated funds on hand Q [7765] Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts 1 [7780]		B. Securities	<u>o</u> [7590]				
E. Value of short option contracts  4. Amounts held by clearing organizations of foreign boards of trade  A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value		C. Unrealized gain (loss) on open futures contracts	<u><b>0</b></u> [7600]				
4. Amounts held by clearing organizations of foreign boards of trade  A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of long option contracts D.		D. Value of long option contracts	<u><b>0</b></u> [7610]				
A. Cash B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D. Total funds in separate section 30.7 accounts  A. Cash B. Securities C. Amounts held by members of foreign boards of trade A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long opti		E. Value of short option contracts	<u>0</u> [7615] <u><b>299,316</b> [7620]</u>				
B. Securities C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts E. Value of short option contracts D [7670] E. Value of short option contracts D [7675] D [7680]  5. Amounts held by members of foreign boards of trade A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts D. Value of long option contracts E. Value of short option contracts D. Value of short option contracts D [7730] E. Value of short option contracts D [7760]  Segregated funds on hand D [7765]  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts 1 [7780]	4.	Amounts held by clearing organizations of foreign boards of trade					
C. Amount due to (from) clearing organization - daily variation  D. Value of long option contracts  E. Value of short option contracts  O [7670]  E. Value of short option contracts  O [7675] O [7680]  5. Amounts held by members of foreign boards of trade  A. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  E. Value of short option contracts  O [7730]  E. Value of short option contracts  O [7730]  Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand  D [7765]  Total funds in separate section 30.7 accounts  D. Value of Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		A. Cash	<u><b>0</b></u> [7640]				
D. Value of long option contracts E. Value of short option contracts  D. Value of short option contracts  E. Value of short option contracts  A. Cash A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts E. Value of short option contracts D. Value of long option contracts E. Value of short option contracts D. Value of long option contracts E. Value of short option contracts D. Value of long option contracts D. Value of long option contracts D. Value of long option contracts D. Value of short option contracts D. Value of short option contracts D. Value of long option contracts D. Value of lo		B. Securities	<u><b>0</b></u> [7650]				
E. Value of short option contracts  Amounts held by members of foreign boards of trade  A. Cash  B. Securities  C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  D. Value of short option contracts  E. Value of short option contracts  O [7730]  E. Value of short option contracts  O [7735] O [7740]  Amounts with other depositories designated by a foreign board of trade  Amounts with other depositories designated by a foreign board of trade  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		C. Amount due to (from) clearing organization - daily variation	<u><b>0</b></u> [7660]				
5. Amounts held by members of foreign boards of trade  A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts E. Value of short option contracts D. Total funds on hand Segregated funds on hand Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  D [7700] D [7710] D [7720] D [7720] D [7730] D [7740] D [7740] D [7760] D [7765] D [7765] D [7770] D [7765] D [7770] D [777		D. Value of long option contracts	<u><b>0</b></u> [7670]				
A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts C. Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand Segregated funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  9 [7700] 0 [7710] 0 [7720] 0 [7730] 0 [7740] 0 [7760] 0 [7765] 1,528,281 [7770] 1,528,281 [7380] 1,528,281 [7380]			<u><b>0</b></u> [7675] <u><b>0</b></u> [7680]				
B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts O [7730] E. Value of short option contracts O [7735] O [7740]  Amounts with other depositories designated by a foreign board of trade Segregated funds on hand O [7765]  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]	5.	Amounts held by members of foreign boards of trade					
C. Unrealized gain (loss) on open futures contracts  D. Value of long option contracts  E. Value of short option contracts  Manual swith other depositories designated by a foreign board of trade  Amounts with other depositories designated by a foreign board of trade  Segregated funds on hand  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]			<del></del>				
D. Value of long option contracts  E. Value of short option contracts  O [7735] O [7740]  Amounts with other depositories designated by a foreign board of trade  O [7760]  Segregated funds on hand  O [7765]  Total funds in separate section 30.7 accounts  Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]			<u><b>0</b></u> [7710]				
E. Value of short option contracts  6. Amounts with other depositories designated by a foreign board of trade  7. Segregated funds on hand  8. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  9 [7735] 0 [7740]  0 [7740]  1 [7765]  1 [7770]  1 [7780]			<u><b>0</b></u> [7720]				
6. Amounts with other depositories designated by a foreign board of trade 7. Segregated funds on hand 8. Total funds in separate section 30.7 accounts 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts  9 [7760] 1,528,281 [7770] 1,528,281 [7380] 1,528,281 [7380] 1,528,281 [7380]			<del></del>				
7. Segregated funds on hand							
8. Total funds in separate section 30.7 accounts  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1.528,281 [7770]  1.528,281 [7780]			<u><b>0</b></u> [7760]				
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		• •					
Page 1 from Line 8)  10. Management Target Amount for Excess funds in separate section 30.7 accounts  1 [7780]		·					
10. Management Target Amount for Excess funds in separate section 30.7 accounts <u>1</u> [7780]	9.	,	<u>1,<b>528,281</b></u> [7380]				
		, ,					
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,528,280 [7785]			<del>_</del> -				
	11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>1<b>,528,280</b></u> [7785]				

End Date:8/1/2024

Firm Name:Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II

Submit Date:8/2/2024

Excess

## **Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u><b>0</b></u> [7010]
	B. Securities (at market)	<b>0</b> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u><b>0</b></u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u><b>0</b></u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>o</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u><b>0</b></u> [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u><b>0</b></u> [7045]
	Less: amount offset by customer securities	<u><b>0</b></u> [7047] <u><b>0</b></u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u><b>0</b></u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	3,413,594 [7070]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7090]
	market)	
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u><b>120,855</b></u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7120]
	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u><b>0</b></u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u><b>0</b></u> [7132]
	B. Value of open short option contracts	<u><b>0</b></u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u><b>0</b></u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u><b>0</b></u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u><b>0</b></u> [7170]
4.0	market)	
12.	Segregated funds on hand	<u><b>0</b></u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>3,534,449</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>3,534,449</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>1</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>3,<b>534,448</b></u> [7198]

End Date:8/1/2024

Firm Name: Daiwa Capital Markets America Inc.

Form:Daily Seg - FOCUS II Submit Date:8/2/2024

**Daily Segregation - Swaps Statement** 

# STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>o</u> [8500]
	B. Securities (at market)	<u><b>0</b></u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>o</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>o</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>o</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>o</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>o</u> [8560]
	Less: amount offset by customer owned securities	<u>o</u> [8570] <u>o</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>o</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u><b>0</b></u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts	
	A. Cash	<u>o</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u><b>0</b></u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>o</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>o</u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>o</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u><b>0</b></u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b></u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u><b>0</b> </u>
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u><b>0</b></u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from	<u><b>0</b></u> [8730]
	line 13)	
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u><b>0</b></u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u><b>0</b></u> [8770]
	Management	