DAIWA CAPITAL MARKETS AMERICA INC. STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct) 1. Nat ledger balance A. Cash 0 B. Securities (a market) 0 2. Nat unrealized profit (loss) in open futures contracts traded on a contract market 0 3. Exchange Traded Options 0 A. Add market value of open option contracts purchased on a contract market 0 5. Accounts liquidating to a deficit and accounts with debit balances 0 Gross Amount 0 7040 Less: amount offset by customer owned securities 0 7042 6. Amount required to be segregated (add lines 4 and 5) 0 7050 7. Deposited in segregated funds bank accounts 2.002.392 7070 8. Securities held for particular customers' funds (at market) 0 7080 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 7102 9. Net settlement from (to) derivatives clearing organizations of contract markets 0 7132 10. Exchanges readed options 0 7142 0 71630 10. Exchang	BROKER OR DEALER			s of 04/30/2024	
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct) 1. Net ledger balance 0 7010 B. Securities (at market) 0 7020 2. Net unrealized profit (loss) in open futures contracts traded on a contract market 0 7032 3. Exchange Traded Options 0 7043 4. Add market value of open option contracts purchased on a contract market 0 7032 5. Accounts liquidating to a deficit and accounts with debit balances 0 7040 6. Amount required to be segregated (add lines 4 and 5) 0 7060 FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts 0 7043 6. Amount required to be segregated (add lines 4 and 5) 0 7060 FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts 1 0 7020 8. Securities representing investments of customers' funds (at market) 0 7130 9. Net settlement from (b) derivatives clearing organizations of contract markets 0 7110 <td>DAIV</td> <td>VA CA</td> <td>APITAL MARKETS AMERICA INC.</td> <td></td> <td></td>	DAIV	VA CA	APITAL MARKETS AMERICA INC.		
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B. Value of open short option contracts (10.			0	7132
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C. Securities held for particular customers or option customers in lieu of cash (at market) 0 7170 12. Segregating of funds on hand (describe:) 0 7150 13. Total amount in segregation 2,117,024 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 2,117,024 7190 15. Management Target Amount foir Excess funds in segregation 0 7194					
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BROKER OR DEALER DAIWA CAPITAL MARKETS AMERICA INC.

as of 04/30/2024

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	nt required to be set aside pursuant to law, rule or regulation of a foreign government or a rule If-regulatory organization authorized thereunder	0	7305	
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
	A. Cash	0	7315	
	B. Securities (at market)	0	7317	
2.	Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade	0	7325	
3.	Exchange Traded Options			
	A. Market value of open option contracts purchased on a foreign board of trade	0	7335	
	B. Market value of open contracts granted (sold) on a foreign board of trade	0	7337	
4.	Net equity (deficit) (add lines 1, 2, and 3)	0	7345	
5.	Accounts liquidating to a deficit and accounts with debit balances			
	Gross amount 0 7351			
	Less: amount offset by customer owned securities (0) 7352	0	7354	
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5	0	7355	
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6	0	7360	

BROKER OR DEALER DAIWA CAPITAL MARKETS AMERICA INC.

as of 04/30/2024

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

FUNDS DEPOSITED IN SEPERATE REGULATION 30.7 ACCOUNTS

1.	Cas	sh in Banks						
	Α.	Banks located in the United States	59,685	7500				
	В.	Other banks qualified under regulation 30.7						
		Name(s): 7510	86,689	7520	146,374	7530		
2.	Securities							
	Α.	In safekeeping with banks located in the United States	0	7540				
	В.	In safekeeping with other banks qualified under reg	gulation 30.7					
		Name(s): 7550	0	7560	0	7570		
3.	Equities with registered futures commission merchants							
	Α.	Cash	294,585	7580				
	В.	Securities	0	7590				
	C.	Unrealized gain (loss) on open futures contracts	0	7600				
	D.	Value of long option contracts	0	7610				
	Ε.	Value of short option contracts (0)	7615	294,585	7620		
4.	Am	ounts held by clearing corporations of foreign board Name(s): 7630	s of trade					
	A.	Cash	0	7640				
	А. В.	Securities	0	7640				
			0	7650				
	C.	Amount due to (from) clearing organizations - daily variation	0	7660				
	D.	Value of long option contracts	0	7670				
	Ε.	Value of short option contracts	0	7675	0	7680		
5.	Am	ounts held by members of foreign boards of trade						
		Name(s): 7690						
	Α.	Cash	0	7700				
	В.	Securities	0	7710				
	C.	Unrealized gain (loss) on open futures contracts	0	7720				
	D.	Value of long option contracts	0	7730				
	Ε.	Value of short option contracts (0)	7735	0	7740		
6.	Am	ounts with other depositories designated by a foreig	n board of trade					
		Name(s): 7750			0	7760		
7.	Seg	gregated funds on hand (describe:)	0	7765		
8.	Tot	al funds in separate section 30.7 accounts			440,959	7770		
9.	Excess (deficiency) set Aside Funds for Secured Amount (subtract line 7 Securied Statement page T10-3 from line 8)				440,959	7380		
10.		nagement Target Amount for Excess funds in separ	0	7780				
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target				440,959	7785		

BROKER OR DEALER 04/30/2024 as of DAIWA CAPITAL MARKETS AMERICA INC. STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES CLEARED SWAPS CUSTOMER REQUIREMENTS 1. Net ledger balance A. Cash 0 8500 0 B. Securities (at market) 8510 Net unrealized profit (loss) in open cleared swaps 0 2. 8520 Cleared swaps options 3 A. Market value of open cleared swaps option contracts purchased 0 8530 B. Market value of open cleared swaps option contracts granted (sold) 0) 8540 4. Net equity (deficit) add lines 1, 2, and 3) 0 8550 Accounts liquidating to a deficit and accounts with debit balances 5 Gross amount 0 8560 Less: amount offset by customer owned securities (0) 8570 0 8580 0 6. Amount required to be segregated for cleared swaps customers (addlines 4 and 5) 8590 FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS 7. Deposited in cleared swaps customer segregated accounts at banks A. Cash 0 8600 0 Securities representing investments of customers' funds (at market) В. 8610 0 C. Securities held for particular customers or option customers in lieu of cash (at market) 8620 Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 8. A. Cash 0 8630 0 B. Securities representing investments of customers' funds (at market) 8640 Securities held for particular customers or option customers in lieu of cash (at market) 0 C. 8650 9. Net settlement from (to) derivatives clearing organizations 0 8660 10. Cleared swaps options Α. Value of open cleared swaps long option contracts 0 8670 0) B. Value of open open cleared swaps short option contracts (8680 Net equities with other FCMs 11. A. Net liquidating equity 0 8690 0 B. Securities representing investments of cleared swaps customers' funds (at market) 8700 C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 8710 0 12. Cleared swaps customer funds on hand (describe: 8715 0 13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 8720 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 8730 15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 8760 16. Excess (deficiency) funds in cleared swaps customer segregation over (under) Management 8770 0 Target Excess