

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 04/30/2024

|    |                       |               |      |
|----|-----------------------|---------------|------|
| 1. | Tentative Net Capital | 1,488,069,705 | 3640 |
| 2. | Net Capital           | 1,331,083,852 | 3750 |
| 3. | Excess Net Capital    | 1,329,583,852 | 3910 |