

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2022

|    |                       |               |      |
|----|-----------------------|---------------|------|
| 1. | Tentative Net Capital | 1,362,459,410 | 3640 |
| 2. | Net Capital           | 1,301,739,373 | 3750 |
| 3. | Excess Net Capital    | 1,300,111,352 | 3910 |