

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2021

1.	Tentative Net Capital	1,306,121,314	3640
2.	Net Capital	1,276,796,498	3750
3.	Excess Net Capital	1,275,296,498	3910