FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

| BROKER OR DEALER DAIWA CAPITAL MARKETS AMERICA INC. | | as of | 12/31/2020 | |
|---|-----------------------|-------|---------------|------|
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| | | | | |
| 1. | Tentative Net Capital | | 1,288,807,724 | 3640 |
| 2. | Net Capital | | 1,262,045,391 | 3750 |
| 3. | Excess Net Capital | | 1,260,545,391 | 3910 |