

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2020

1.	Tentative Net Capital	1,258,879,977	3640
2.	Net Capital	1,196,070,004	3750
3.	Excess Net Capital	1,194,570,004	3910