DAIMA CAPITAL MARKETS AMERICA INC.	BROKER OR DEALER as of			s of 06/30/2020	
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES         SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)         1.       Net ledger balance       0       7010         A. Cash       0       7020         B. Securities (at market)       0       7032         C. Net unrealized profit (loss) in open futures contracts traded on a contract market       0       7032         S. Exchange Traded Options       0       7040         A. Add market value of open option contracts granted (sold) on a contract market       0       7032         B. Deduct market value of open option contracts granted (sold) on a contract market       0       7040         5. Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045       0       7050         FUNDS IN SEGREGATED ACCOUNTS       0       7060       7070       0       7060         7. Deposited in segregated funds bank accounts       10       0       7040       0       7020       0       7020         6. Amount required to be segregated (add lines 4 and 5)       0       7060       7070       0       7060         FUNDS IN SEGREGATED ACCOUNTS       7070       0       7080       0       7010       7020	DAIV	VA C	APITAL MARKETS AMERICA INC.		
1. Net ledger balance       0       7010         B. Securities (at market)       0       7020         2. Net unrealized profit (loss) in open futures contracts traded on a contract market       0       7032         3. Exchange Traded Options       A. Add market value of open option contracts granted (sold) on a contract market       0       7032         3. Exchange Traded Options       0       7040       7040         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7045         5. Accounts liquidating to a deficit and accounts with debit balances       0       7045         6. Amount required to be segregated (add lines 4 and 5)       0       7060         FUNDS IN SEGREGATED ACCOUNTS       7070       7070         7. Deposited in segregated funds bank accounts					
A. Cash       0       7010         B. Securities (at market)       0       7020         2. Net unrealized profit (loss) in open futures contracts traded on a contract market       0       7030         3. Exchange Traded Options       0       7033         4. Add market value of open option contracts granted (sold) on a contract market       0       7032         B. Deduct market value of open option contracts granted (sold) on a contract market       0       7033         5. Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045       0       7050         c. Ass Amount required to be segregated (add lines 4 and 5)       0       70700       7050         FUNDS IN SEGREGATED ACCOUNTS       0       7046       0       7080         7. Deposited in segregated funds bank accounts       2,006,347       7070       7080         8. Securities representing investments of customers' funds (at market)       0       7080       0       7080         8. Securities representing investments of customers' funds (at market)       0       7110       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130       0       7130         10. Exchange traded options <td>SEG</td> <td>REG</td> <td>ATION REQUIREMENTS (Section 4d(2) of the CEAct)</td> <td></td> <td></td>	SEG	REG	ATION REQUIREMENTS (Section 4d(2) of the CEAct)		
B. Securities (at market)       0       7020         2. Net unrealized profit (loss) in open futures contracts traded on a contract market       0       7030         3. Exchange Traded Options       0       7030         A. Add market value of open option contracts purchased on a contract market       0       7032         B. Deduct market value of open option contracts granted (sold) on a contract market       0       7033         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7040         5. Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045       0       7050         6. Amount required to be segregated (add lines 4 and 5)       0       7060       7060         FUNDS IN SEGREGATED ACCOUNTS         7. Deposited in segregated funds bank accounts       2,006,347       7070         A. Cash       2,006,347       7070         B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         Gross Auties representing investments of customers' funds (at market)	1.	Ne	t ledger balance		
2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       0       7030         3.       Exchange Traded Options       0       7032         4.       Add market value of open option contracts purchased on a contract market       0       7032         4.       Net equity (deficit) (add lines 1, 2, and 3)       0       7040         5.       Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045       0       7050         6.       Amount required to be segregated (add lines 4 and 5)       0       7060       7060         FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts       2,006,347       7070         7.       Deposited in segregated funds bank accounts       2,006,347       7070         8.       Securities representing investments of customers funds (at market)       0       7080         C.       Securities representing investments of customers in lieu of cash (at market)       0       7100         8.       Securities representing investments of customers in lieu of cash (at market)       0       7130         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0       7130		Α.	Cash	0	7010
3.       Exchange Traded Options         A.       Add market value of open option contracts purchased on a contract market       0         B.       Deduct market value of open option contracts granted (sold) on a contract market       0         7033       7040         5.       Accounts liquidatines 1, 2, and 3)       0         5.       Accounts liquidating to a deficit and accounts with debit balances         Gross Amount       0       7045         Less: amount offset by customer owned securities       0       7047         6.       Amount required to be segregated (add lines 4 and 5)       0       7060         FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts       2.006,347       7070         8.       Securities representing investments of customers' funds (at market)       0       7080         C.       Securities representing investments of customers' funds (at market)       0       7100         8.       Securities representing investments of customers' funds (at market)       0       7100         8.       Securities representing investments of customers' funds (at market)       0       7110         C.       Securities representing investments of customers in lieu of cash (at market)       0       7110         9.		В.	Securities (at market)	0	7020
A. Add market value of open option contracts purchased on a contract market       0       7032         B. Deduct market value of open option contracts granted (sold) on a contract market       0       7040         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7040         5. Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045         Less: amount offset by customer owned securities (       0)       7047       0       7050         6. Amount required to be segregated (add lines 4 and 5)       0       7080       0       7080         FUNDS IN SEGREGATED ACCOUNTS         7. Deposited in segregated funds bank accounts       2.006,347       7070       7090         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7130         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       .       .       .       0       7130         10. Exchange traded options <td>2.</td> <td>Ne</td> <td>t unrealized profit (loss) in open futures contracts traded on a contract market</td> <td>0</td> <td>7030</td>	2.	Ne	t unrealized profit (loss) in open futures contracts traded on a contract market	0	7030
B. Deduct market value of open option contracts granted (sold) on a contract market       (       0)       7033         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7040         5. Accounts liquidating to a deficit and accounts with debit balances Gross Amount       0       7045         Less: amount offset by customer owned securities       0       7045         6. Amount required to be segregated (add lines 4 and 5)       0       7050         FUNDS IN SEGREGATED ACCOUNTS         7. Deposited in segregated funds bank accounts       2,006,347       7070         A. Cash       2,006,347       7070         B. Securities representing investments of customers 'funds (at market)       0       7080         C. Securities representing investments of customers 'funds (at market)       0       7100         B. Securities representing investments of customers 'funds (at market)       0       7110         C. Securities representing investments of customers 'funds (at market)       0       7110         C. Securities neld for particular customers or option customers in lieu of cash (at market)       0       71120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7130       17131         A. Value of open long option	3.	Ex	change Traded Options		
4.       Net equity (deficit) (add lines 1, 2, and 3)       0       7040         5.       Accounts liquidating to a deficit and accounts with debit balances       0       7045         Gross Amount       0       7045       0       7050         Less: amount offset by customer owned securities (       0       0       7045       0       7050         6.       Amount required to be segregated (add lines 4 and 5)       0       7060       7060         FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts       2,006,347       7070         A.       Cash       2,006,347       7070         B.       Securities representing investments of customers or option customers in lieu of cash (at market)       0       7080         C.       Securities representing investments of customers in lieu of cash (at market)       0       7110         C.       Securities representing investments of customers in lieu of cash (at market)       0       7110         C.       Securities representing organizations of contract markets       0       7110         O.       Exchange traded options		Α.	Add market value of open option contracts purchased on a contract market	0	7032
5.       Accounts liquidating to a deficit and accounts with debit balances         Gross Amount       0       7045         Less: amount offset by customer owned securities       0       7047       0       7050         6.       Amount required to be segregated (add lines 4 and 5)       0       7060       7060         FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts       2,006,347       7070         A.       Cash       2,006,347       7070         B.       Securities representing investments of customers' funds (at market)       0       7080         C.       Securities representing investments of customers' funds (at market)       0       7100         B.       Securities representing investments of customers' funds (at market)       0       7110         C.       Securities held for particular customers or option customers in lieu of cash (at market)       0       7120         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10.       Exchange traded options       0       7132         A.       Value of open long option contracts       0       7140         B.       Securities representing investments of customers' funds (at market)       0		В.	Deduct market value of open option contracts granted (sold) on a contract market	0)	7033
Gross Amount       0       7045         Less: amount offset by customer owned securities (       0)       7047       0       7050         6.       Amount required to be segregated (add lines 4 and 5)       0       7060         FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts       2.006,347       7070         A.       Cash       2.006,347       7070         B.       Securities representing investments of customers inlieu of cash (at market)       0       7080         7.       Deposite with derivatives clearing organizations of contract markets       0       7090         8.       Margins on deposit with derivatives clearing organizations of contract markets       0       7110         C.       Securities representing investments of customers in lieu of cash (at market)       0       7120         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10.       Exchange traded options       0       7140         A.       Value of open long option contracts       0       7140         B.       Securities representing investments of customers' funds (at market)       0       7140         I1.       Net equities with other FCMs       0       7140	4.	Ne	t equity (deficit) (add lines 1, 2, and 3)	0	7040
Less: amount offset by customer owned securities       (0)       7047       0       7050         6. Amount required to be segregated (add lines 4 and 5)       0       7060       0       7060         FUNDS IN SEGREGATED ACCOUNTS         7. Deposited in segregated funds bank accounts       2,006,347       7070       0       7080         A. Cash       2,006,347       7070       0       7080         C. Securities representing investments of customers' funds (at market)       0       7090       0       7100         8. Margins on deposit with derivatives clearing organizations of contract markets       .       167,423       7100         R. Cash	5.	Ac	counts liquidating to a deficit and accounts with debit balances		
6. Amount required to be segregated (add lines 4 and 5)       0       7060         FUNDS IN SEGREGATED ACCOUNTS         7. Deposited in segregated funds bank accounts       2,006,347       7070         B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margins on deposit with derivatives clearing organizations of contract markets       167,423       7100         8. Margins on deposit with derivatives clearing organizations of contract markets       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7130         A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7140         A. Net liquidating equity       0       7140         B. Securities held for particular customers or option customers in lieu of cash (at market)       0       7140         11. Net equities with other FCMs       0       7140       0       7140         B. Securities held for particular customers or option customers in lieu of cash (at market)       0       7160         C. Securities held for particula		Gro	oss Amount0 7045		
FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts         A. Cash       2,006,347         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         8.       Margins on deposit with derivatives clearing organizations of contract markets         A. Cash       167,423         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         B. Securities representing investments of customers' funds (at market)       0         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0         10.       Exchange traded options       4         A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7142         B. Value of open short option customers' funds (at market)       0       7140         C. Securities representing investments of customers' funds (at market)       0       7140         T11.       Net liquidating equity       0       7140         G. Securities representing investments of customers' funds (at market)       0 <td></td> <td>Les</td> <td>ss: amount offset by customer owned securities (0) 7047</td> <td>0</td> <td>7050</td>		Les	ss: amount offset by customer owned securities (0) 7047	0	7050
7.       Deposited in segregated funds bank accounts         A. Cash       2,006,347         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         8. Margins on deposit with derivatives clearing organizations of contract markets       167,423         A. Cash       167,423         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         P. Net settlement from (to) derivatives clearing organizations of contract markets       0         10. Exchange traded options       0         A. Value of open long option contracts       0         A. Net liquidating equity       0         B. Value of open short option customers or option customers in lieu of cash (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         I. Net equities with other FCMs       0       7140         B. Securities representing investments of customers' funds (at market)       0       7170         I. Segregating of funds on hand       (describe:)	6.	Am	nount required to be segregated (add lines 4 and 5)	0	7060
7.       Deposited in segregated funds bank accounts         A. Cash       2,006,347         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         8. Margins on deposit with derivatives clearing organizations of contract markets       167,423         A. Cash       167,423         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         7120       7130         P. Net settlement from (to) derivatives clearing organizations of contract markets       0         A. Value of open long option contracts       0         A. Value of open long option contracts       0         A. Value of open long option contracts       0         A. Net liquidating equity       0         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         II. Net equities with other FCMs       0       7140         A. Net liquidating equity       0       7140 <td></td> <td></td> <td></td> <td></td> <td></td>					
A. Cash       2,006,347       7070         B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margins on deposit with derivatives clearing organizations of contract markets       0       7100         B. Securities representing investments of customers' funds (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         G. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         G. Securities held for particular customers or option customers in lieu of cash (at market)       0       7132         B. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7140         B. Securities representing investments of customers' funds (at market)       0       7140         B. Securities representing investments of customers' funds (at market)       0       7140         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         I. Net l					
B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margins on deposit with derivatives clearing organizations of contract markets       167,423       7100         B. Securities representing investments of customers' funds (at market)       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7133         11. Net equities with other FCMs       0       7140         A. Net liquidating equity       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7140         B. Securities representing investments of customers' funds (at market)       0       7140         B. Securities representing investments of customers in lieu of cash (at market)       0       7140         I. Securities held for particular customers or option customers in lieu of cash (at market)       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0	1.			2 006 347	7070
C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margins on deposit with derivatives clearing organizations of contract markets       167,423       7100         A. Cash       0       7110       0       7110         B. Securities representing investments of customers' funds (at market)       0       7110       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130       0       7130         10. Exchange traded options       0       71132       0       7130         11. Net equities with other FCMs       0       7140       0       7140         B. Securities representing investments of customers' funds (at market)       0       7140         B. Securities representing investments of customers in lieu of cash (at market)       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7160         12. Securities representing investments of customers in lieu of cash (at market)       0       7150         13. Total amount in segregation       2,173,770       7180         14. Excess					
<ul> <li>Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>O. T120</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>O. T120</li> <li>9. Value of open long option contracts</li> <li>A. Value of open short option contracts</li> <li>A. Value of open short option contracts</li> <li>A. Net liquidating equity</li> <li>O. T140</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>O. T140</li> <li>B. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>O. T170</li> <li>12. Segregating of funds on hand (describe:)</li> <li>O. T150</li> <li>13. Total amount in segregation (subtract line 6 from line 13)</li> <li>2,173,770</li> <li>7190</li> <li>14. Excess (deficiency) funds in s</li></ul>		_			
A. Cash       167,423       7100         B. Securities representing investments of customers' funds (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         B. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7133         11. Net equities with other FCMs       0       7140         B. Securities representing investments of customers' funds (at market)       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         12. Segregating of funds on hand       (describe:	0			0	7090
B. Securities representing investments of customers' funds (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         B. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7133         11. Net equities with other FCMs       7140       7140         B. Securities representing investments of customers' funds (at market)       0       7170         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7140         B. Securities representing investments of customers' funds (at market)       0       7170         T12. Segregating of funds on hand       (describe:	0.			167 423	7100
C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         B. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7132         11. Net equities with other FCMs       0       7140         B. Securities representing investments of customers' funds (at market)       0       7140         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7140         B. Securities representing investments of customers' funds (at market)       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         12. Segregating of funds on hand       (describe:)       0       7150         13. Total amount in segregation       2,173,770       7180         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15. Management Target Amount foir Excess funds in segregation       0       7194				· · · · ·	
9.       Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10.       Exchange traded options       0       7132         A.       Value of open long option contracts       0       7132         B.       Value of open short option contracts       0       7133         11.       Net equities with other FCMs       0       7140         A.       Net liquidating equity       0       7140         B.       Securities representing investments of customers' funds (at market)       0       7160         C.       Securities held for particular customers or option customers in lieu of cash (at market)       0       7150         12.       Segregating of funds on hand       (describe:)       0       7150         13.       Total amount in segregation       2,173,770       7180         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15.       Management Target Amount foir Excess funds in segregation       0       7194		_			
10.       Exchange traded options         A.       Value of open long option contracts       0       7132         B.       Value of open short option contracts       0       7132         11.       Net equities with other FCMs       0       7140         A.       Net liquidating equity       0       7160         B.       Securities representing investments of customers' funds (at market)       0       7160         C.       Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         12.       Segregating of funds on hand       (describe:)       0       7150         13.       Total amount in segregation       2,173,770       7180         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15.       Management Target Amount foir Excess funds in segregation       0       7194	٥				
A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7133         11. Net equities with other FCMs       0       7140         A. Net liquidating equity       0       7160         B. Securities representing investments of customers' funds (at market)       0       7170         12. Segregating of funds on hand       (describe:)       0       7150         13. Total amount in segregation       2,173,770       7180         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15. Management Target Amount foir Excess funds in segregation       0       7194				0	7130
B. Value of open short option contracts       (	10.		•	0	7132
11. Net equities with other FCMs         A. Net liquidating equity       0         B. Securities representing investments of customers' funds (at market)       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0         12. Segregating of funds on hand       (describe:)         13. Total amount in segregation       2,173,770         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770         15. Management Target Amount foir Excess funds in segregation       0					
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B. Securities representing investments of customers' funds (at market)       0       7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         12. Segregating of funds on hand       (describe:)       0       7150         13. Total amount in segregation       2,173,770       7180         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15. Management Target Amount foir Excess funds in segregation       0       7194			•	0	7140
C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7170         12. Segregating of funds on hand       (describe:)       0       7150         13. Total amount in segregation       2,173,770       7180         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15. Management Target Amount foir Excess funds in segregation       0       7194					
12.Segregating of funds on hand (describe:)0715013.Total amount in segregation2,173,770718014.Excess (deficiency) funds in segregation (subtract line 6 from line 13)2,173,770719015.Management Target Amount foir Excess funds in segregation07194				0	
13.Total amount in segregation2,173,770718014.Excess (deficiency) funds in segregation (subtract line 6 from line 13)2,173,770719015.Management Target Amount foir Excess funds in segregation07194	12				
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       2,173,770       7190         15. Management Target Amount foir Excess funds in segregation       0       7194					
15. Management Target Amount foir Excess funds in segregation0					
	16.		cess (deficiency) funds in segregation over (under) Management Target Amount Excess	2,173,770	7194

## BROKER OR DEALER DAIWA CAPITAL MARKETS AMERICA INC.

as of \_\_\_\_\_\_06/30/2020

## STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

#### FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

3. Exchange Traded Options       0         A. Market value of open option contracts purchased on a foreign board of trade       0         B. Market value of open contracts granted (sold) on a foreign board of trade       0		unt required to be set aside pursuant to law, rule or regulation of a foreign government or a rule self-regulatory organization authorized thereunder	0	7305		
B. Securities (at market)       0       7317         2. Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade       0       7325         3. Exchange Traded Options       0       7335         B. Market value of open option contracts purchased on a foreign board of trade       0       7337         4. Market value of open contracts granted (sold) on a foreign board of trade       0       7337         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7345         5. Accounts liquidating to a deficit and accounts with debit balances       0       7351         Gross amount       0       7352       0       7354         6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5       0       7355	1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
<ul> <li>2. Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade</li> <li>3. Exchange Traded Options <ul> <li>A. Market value of open option contracts purchased on a foreign board of trade</li> <li>B. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Met equity (deficit) (add lines 1, 2, and 3)</li> <li>C. Accounts liquidating to a deficit and accounts with debit balances</li> <li>Gross amount</li> <li>C. Market by customer owned securities</li> <li>C. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5</li> </ul> </li> </ul>		A. Cash	0	7315		
<ul> <li>3. Exchange Traded Options <ul> <li>A. Market value of open option contracts purchased on a foreign board of trade</li> <li>B. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>C. Market value of op</li></ul></li></ul>		B. Securities (at market)	0	7317		
A. Market value of open option contracts purchased on a foreign board of trade       0       7335         B. Market value of open contracts granted (sold) on a foreign board of trade       0       7337         4. Net equity (deficit) (add lines 1, 2, and 3)       0       7345         5. Accounts liquidating to a deficit and accounts with debit balances       0       7351         Gross amount       0       7352       0         6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5       0       7355	2.	Net unrealized profit (loss) in open futures contracts purchased on a foreign board of trade	0	7325		
<ul> <li>B. Market value of open contracts granted (sold) on a foreign board of trade</li> <li>4. Net equity (deficit) (add lines 1, 2, and 3)</li> <li>5. Accounts liquidating to a deficit and accounts with debit balances</li> <li>Gross amount</li> <li>Less: amount offset by customer owned securities</li> <li>6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5</li> </ul>	3.	Exchange Traded Options				
<ul> <li>4. Net equity (deficit) (add lines 1, 2, and 3)</li> <li>5. Accounts liquidating to a deficit and accounts with debit balances Gross amount</li> <li>0 7345</li> <li>5. Accounts liquidating to a deficit and accounts with debit balances</li> <li>Gross amount</li> <li>0 7351</li> <li>7352</li> <li>0 7354</li> </ul>		A. Market value of open option contracts purchased on a foreign board of trade	0	7335		
<ul> <li>5. Accounts liquidating to a deficit and accounts with debit balances <ul> <li>Gross amount</li> <li>Less: amount offset by customer owned securities</li> <li>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5</li> </ul> </li> </ul>		B. Market value of open contracts granted (sold) on a foreign board of trade	0	7337		
Gross amount Less: amount offset by customer owned securities (0) 73520 7354 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 50 7355	4.	Net equity (deficit) (add lines 1, 2, and 3)	0	7345		
Less: amount offset by customer owned securities       0       7352       0       7354         6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5       0       7355	5.	Accounts liquidating to a deficit and accounts with debit balances				
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5 0 7355		Gross amount0 7351				
lines 4 and 50 7355		Less: amount offset by customer owned securities (0) 7352	0	7354		
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 0 7360	6.		0	7355		
	7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6	0	7360		

## BROKER OR DEALER DAIWA CAPITAL MARKETS AMERICA INC.

as of 06/30/2020

# STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

#### FUNDS DEPOSITED IN SEPERATE REGULATION 30.7 ACCOUNTS

Cas	sh in Banks					
Α.	Banks located in the United States	59,685	7500			
В.	Other banks qualified under regulation 30.7					
	Name(s): 7510	197,310	7520	256,995	7530	
Securities						
A.	In safekeeping with banks located in the United States	0	7540			
В.	In safekeeping with other banks qualified under regu	lation 30.7				
	Name(s): 7550	0	7560	0	7570	
Equities with registered futures commission merchants						
Α.	Cash	292,283	7580			
В.	Securities	0	7590			
C.	Unrealized gain (loss) on open futures contracts	0	7600			
D.	Value of long option contracts	0	7610			
Ε.	Value of short option contracts (	0)	7615	292,283	7620	
Amounts held by clearing corporations of foreign boards of trade						
	Name(s): 7630					
Α.	Cash	0	7640			
В.	Securities	0	7650			
C.	Amount due to (from) clearing organizations - daily variation	0	7660			
D.	-	0				
E.		0		0	7680	
	Name(s): 7690					
Α.	Cash	0	7700			
В.	Securities	0	7710			
C.	Unrealized gain (loss) on open futures contracts	0	7720			
D.	Value of long option contracts	0	7730			
E.	Value of short option contracts (	0)	7735	0	7740	
Am	ounts with other depositories designated by a foreign	board of trade				
	Name(s): 7750			0	7760	
Seg	gregated funds on hand (describe:		)	0	7765	
Tot	al funds in separate section 30.7 accounts			549,278	7770	
Excess (deficiency) set Aside Funds for Secured Amount (subtract line 7 Securied Statement page T10-3 from line 8) 549,278					7380	
Management Target Amount for Excess funds in separate section 30.7 accounts					7780	
Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target				549,278	7785	
	<ul> <li>A.</li> <li>B.</li> <li>Sec</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>Am</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>A.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>E.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>A.</li> <li>A.</li> <li>A.</li> <li>B.</li> <li>C.</li> <li>D.</li> <li>A.</li> <li>A.</li></ul>	B.       Other banks qualified under regulation 30.7         Name(s):       7510         Securities       In safekeeping with banks located in the United States         B.       In safekeeping with other banks qualified under regulation states         B.       In safekeeping with other banks qualified under regulations         Name(s):       7550         Equities with registered futures commission merchants         A.       Cash         B.       Securities         C.       Unrealized gain (loss) on open futures contracts         D.       Value of long option contracts         E.       Value of short option contracts         Mame(s):       7630         A.       Cash         B.       Securities         C.       Amount due to (from) clearing organizations - daily variation         D.       Value of short option contracts         E.       Value of short option contracts         E.       Value of short option contracts         D.       Value of short option contracts         E.       Value of short option contracts         D.       Value of short option contracts         D.       Value of short option contracts         D.       Value of short option contracts         D.<	A. Banks located in the United States       59,685         B. Other banks qualified under regulation 30.7       197,310         Securities       0         A. In safekeeping with banks located in the United States       0         B. In safekeeping with other banks qualified under regulation 30.7       0         Name(s):       7550       0         Equities with registered futures commission merchants       0         A. Cash       292,283         B. Securities       0         C. Unrealized gain (loss) on open futures contracts       0         D. Value of long option contracts       0         E. Value of short option contracts       0         A. Cash       0         B. Securities       0         C. Amounts held by clearing organizations - daily variation       0         D. Value of long option contracts       0         E. Value of short option contracts       0         D. Value of long option contracts       0         A. Cash       0         B. Securities       0         C. Amount due to (from) clearing organizations - daily variation       0	A. Banks located in the United States       59,685       7500         B. Other banks qualified under regulation 30.7       Name(s):       7510       197,310       7520         Securities       0       7540       7500       7540         B. In safekeeping with banks located in the United States       0       7540       7560         Equities with registered futures commission merchants       7550       0       7560         Equities with registered futures commission merchants       0       7590       7600         B. Securities       0       7610       7600         D. Value of long option contracts       0       7610       7600         E. Value of short option contracts       0       7610       7610         E. Value of short option contracts       0       7640       7650         A. Cash	A. Banks located in the United States       59,685       7500         B. Other banks qualified under regulation 30.7       197,310       7520       256,995         Securities       0       7540       7540       7560       0       7540         A. In safekeeping with other banks qualified under regulation 30.7       Name(s):       7550       0       7560       0       0         Equites with registered futures commission merchants       292,283       7580       0       7600       0         Securities       0       7550       0       7600       0       0       10 <td< td=""></td<>	

#### **BROKER OR DEALER** as of 06/30/2020 DAIWA CAPITAL MARKETS AMERICA INC. STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES CLEARED SWAPS CUSTOMER REQUIREMENTS 1. Net ledger balance A. Cash 0 8500 0 B. Securities (at market) 8510 Net unrealized profit (loss) in open cleared swaps 0 2. 8520 Cleared swaps options 3 A. Market value of open cleared swaps option contracts purchased 0 8530 B. Market value of open cleared swaps option contracts granted (sold) 0) 8540 4. Net equity (deficit) add lines 1, 2, and 3) 0 8550 Accounts liquidating to a deficit and accounts with debit balances 5 Gross amount 0 8560 Less: amount offset by customer owned securities ( 0) 8570 0 8580 0 6. Amount required to be segregated for cleared swaps customers (addlines 4 and 5) 8590 FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS 7. Deposited in cleared swaps customer segregated accounts at banks A. Cash 0 8600 0 Securities representing investments of customers' funds (at market) В. 8610 0 C. Securities held for particular customers or option customers in lieu of cash (at market) 8620 Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 8. A. Cash 0 8630 0 B. Securities representing investments of customers' funds (at market) 8640 Securities held for particular customers or option customers in lieu of cash (at market) 0 C. 8650 9. Net settlement from (to) derivatives clearing organizations 0 8660 10. Cleared swaps options Α. Value of open cleared swaps long option contracts 0 8670 0) B. Value of open open cleared swaps short option contracts ( 8680 Net equities with other FCMs 11. A. Net liquidating equity 0 8690 0 B. Securities representing investments of cleared swaps customers' funds (at market) 8700 C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 8710 0 12. Cleared swaps customer funds on hand (describe: 8715 0 13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 8720 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 8730 15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 8760 16. Excess (deficiency) funds in cleared swaps customer segregation over (under) Management 8770 0 Target Excess