

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 01/31/2020

1.	Tentative Net Capital	1,124,382,287	3640
2.	Net Capital	1,083,461,243	3750
3.	Excess Net Capital	1,081,901,532	3910