

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 10/31/2019

1.	Tentative Net Capital	1,082,507,768	3640
2.	Net Capital	1,006,593,803	3750
3.	Excess Net Capital	1,005,065,957	3910