FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

BROKER OR DEALER		as of	11/30/2016	
DAIWA CAPITAL MARKETS AMERICA INC.			11/00/2010	
1.	Tentative Net Capital		643,930,368	3640
2.	Net Capital		612,426,221	3750
3.	Excess Net Capital		606,337,041	3910