

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 11/30/2013

1.	Tentative Net Capital	431,098,005	3640
2.	Net Capital	369,336,024	3750
3.	Excess Net Capital	360,162,825	3910