

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2017

1.	Tentative Net Capital	693,841,123	3640
2.	Net Capital	640,354,201	3750
3.	Excess Net Capital	631,460,574	3910