

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2016

1.	Tentative Net Capital	636,987,454	3640
2.	Net Capital	592,161,750	3750
3.	Excess Net Capital	583,898,252	3910