

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2014

1.	Tentative Net Capital	590,684,071	3640
2.	Net Capital	508,416,794	3750
3.	Excess Net Capital	499,051,081	3910