

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 09/30/2013

1.	Tentative Net Capital	456,052,504	3640
2.	Net Capital	391,941,567	3750
3.	Excess Net Capital	383,323,602	3910