

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER

DAIWA CAPITAL MARKETS AMERICA INC.

as of 08/31/2013

1.	Tentative Net Capital	431,833,150	3640
2.	Net Capital	384,605,625	3750
3.	Excess Net Capital	377,564,004	3910